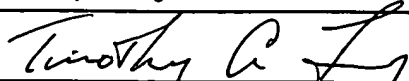


02570 U.S. PTO
020304

| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. | KLR:8476.0001 |
|--|--|---|---------------------|
| | | First Inventor | Kane |
| | | Title | WIND TURBINE |
| | | Express Mail Label No. | EV 307269926 US |
| (Only for new non-provisional applications under 37CFR§1.53(b)) | | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | |
| 1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) | |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status See 37 C.F.R. 1.27 | | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | |
| 3. <input checked="" type="checkbox"/> Specification [Total pages 14] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive Title of the Invention- Cross References to Related Applications- Statement Regarding Federally Sponsored R&D- Reference to sequence listing, a table or a computer program listing- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure | | a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies) orii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5] | | ACCOMPANYING APPLICATION PARTS | |
| 5. Oath or Declaration [Total Sheets 2] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with Box 17 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.53(d)(2) and 1.33(b) | | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76 | | 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76. <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: / Prior application information: Examiner _____ Group No./Art Unit _____ | | 11. <input type="checkbox"/> English translation document (if applicable) | |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) /PTO SB08 <input checked="" type="checkbox"/> Copies of IDS Citations | |
| | | 13. <input type="checkbox"/> Preliminary Amendment | |
| | | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) | |
| | | 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| | | 16. <input type="checkbox"/> Nonpublication Request under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | |
| | | 17. <input type="checkbox"/> Other | |
| 17. CORRESPONDENCE ADDRESS | | | |
| <input checked="" type="checkbox"/> Customer Number: 00152 | | or <input type="checkbox"/> Correspondence address below | |
| Name Chernoff Vilhauer McClung & Stenzel, L.L.P. | | | |
| Address 1600 ODS Tower, 601 SW Second Avenue | | | |
| City Portland | | State OR | Zip Code 97204-3157 |
| Country USA | | Telephone 503.227.5631 | FAX 503.228.4373 |
| Name (print/ type) Timothy A. Long | | Registration No. (Attorney/Agent) 28,876 | |
| Signature  | | Date | February 3, 2004 |

02570 U.S. PTO
10/772022

020304

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete If Known

| | |
|----------------------|---------------|
| Application Number | |
| Filing Date | (herewith) |
| First Named Inventor | Kane |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | KLR:8476.0001 |

☒ Applicant claims small entity status. See 37CFR 1.27

TOTAL AMOUNT OF PAYMENT \$429

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number

03-1550

Deposit Account Name

Chernoff Vilhauer McClung & Stenzel

The Commissioner is authorized to: (check all that apply)

☐ Charge fees indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge any fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|--------------|--------------|------------------------|----------|
| Code (\$) | Code (\$) | | |
| 1001 750 | 2001 375 | Utility filing fee | 375 |
| 1002 330 | 2002 165 | Design filing fee | |
| 1003 520 | 2003 260 | Plant filing fee | |
| 1004 750 | 2004 375 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | \$375 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|---------------------|--------------|----------------|----------|
| 26 - 20 | **= 6 | x 9 = | 54 |
| Indep. Claims 3 - 3 | **= 0 | x 42 = | 0 |
| Multiple Dependent | | | |

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | | |
| 1202 18 | 2202 9 | Claims in excess of 20 | |
| 1201 84 | 2201 42 | Independent claims in excess of 3 | |
| 1203 280 | 2203 140 | Multiple dependent claim, if not paid | |
| 1204 84 | 2204 42 | **Reissue independent claims over original patent | |
| 1205 18 | 2205 9 | *Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | \$54 |

**or number of previously paid, if greater. For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------|---------------|---|----------|
| Fee Code (\$) | Fee Code (\$) | | |
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge-late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex-parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 410 | 2252 205 | Extension for reply within second month | |
| 1253 930 | 2253 465 | Extension for reply within third month | |
| 1254 1,450 | 2254 725 | Extension for reply within fourth month | |
| 1255 1,970 | 2255 985 | Extension for reply within fifth month | |
| 1401 320 | 2401 160 | Notice of Appeal | |
| 1402 320 | 2402 160 | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,300 | 2453 650 | Petition to revive - unintentional | |
| 1501 1,300 | 2501 650 | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | Design issue fee | |
| 1503 630 | 2503 315 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt. | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times no. of properties) - total assignments 1 | |
| 1809 750 | 2809 375 | Filing a submission after final rejection (37 C.F.R. 1.129(a)) | |
| 1810 750 | 2810 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 750 | 2801 375 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|------------------------|------------------|------------------|-----------|----------------|
| Name (print type) | Timothy A. Long | Registration No. | 28,876 | Telephone | (503) 227-5631 |
| Signature | <i>Timothy A. Long</i> | Date | February 3, 2004 | | |

CERTIFICATE OF MAILING

BY "EXPRESS MAIL"

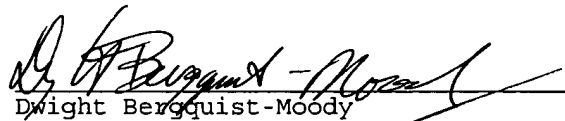
Express Mail No.: EV 307269926 US

Date of Deposit: February 3, 2004

Title: WIND TURBINE

Applicant: Kane, Vic

I hereby certify that a Utility Patent Application, including Specification (14 pages), with: (1) Fee Transmittal (in duplicate); (2) Utility Patent Application Transmittal (in duplicate); (3) Declaration; (4) Power of Attorney; (5) 5 sheets of drawings (Figures 1 through 9), (6) Information Disclosure Statement by Applicant (2 pgs.), together with citations; (7) check in the sum of \$429 for the filing fee; and (8) acknowledgment postcard, are being deposited with the United States Postal Service "Express Mail to Addressee" on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Dwight Bergquist-Moody